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DATE: March 17, 2006

PTO IDENTIFIER: Application Number 10/524827
Patent Number

Inventor: Matt Sauer, et al.

MESSAGE TO: USPTO

FAX NUMBER: 571-273-8300

FROM: CONNOLLY BOVE LODGE & HUTZ LLP
Roberte M.D. Makowski, Ph.D.

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Attorney Dkt. #: 13173-00006-US

PAGES (Including Cover Sheet): 10

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Marked-up copy of Filing Receipt (3 pages)
Declaration, Power of Attorney and Petition (3 page)
Certificate of Transmission (1 page)
Fax Transmission (1 page)

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PTO/SB/97 (09-04)

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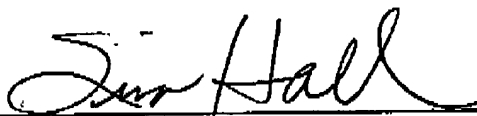
Application No.: 10/524827

Attorney Docket No.: 13173-00006-US

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Docket No.: 13173-00006-US
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of:
Matt Sauer et al.

MAR. 17 2006

Application No.: 10/524827

Confirmation No.: 1457

Filed: February 18, 2005

Art Unit: 1632

For: PROCESS FOR PREPARING
KETOCAROTENOIDS IN GENETICALLY
MODIFIED ORGANISMS

Examiner: Not Yet Assigned

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has three errors under the name of the Applicant(s). They are as follows:

- 1) Second Applicant should have residence shown as "Quedlinburg, Germany" in lieu of "Quedlingburg, Germany"; and
- 2) Third Applicant should have residence shown as "Quedlinburg, Germany" in lieu of "Quedlingburg, Germany"; and
- 3) Fourth Applicant should have residence shown as "Quedlinburg, Germany" in lieu of "Quedlingburg, Germany".

The original Declaration, Power of Attorney and Petition was filed with the application on February 18, 2005, a copy of which is attached hereto.


Applicants additionally request that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

As the error was made by the Patent and Trademark Office, Applicants believe no fee

Docket No.: 13173-00006-US
(PATENT)

is due with this request. However, if a fee is due, please charge our Deposit Account No. 03-2775, under Order No. 13173-00006-US from which the undersigned is authorized to draw.

Respectfully submitted,

By 

Roberte M. D. Makowski

Registration No.: 55,421

CONNOLLY BOVE LODGE & HUTZ LLP

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Attorney for Applicants



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/524,827	02/18/2005	1632	3800	13173-00006-US		46	11

CONFIRMATION NO. 1457

23416
CONNOLLY BOVE LODGE & HUTZ, LLP
P O BOX 2207
WILMINGTON, DE 19899

FILING RECEIPT

OC000000018038341

Date Mailed: 02/24/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Matt Sauer, Quedlinburg, GERMANY;
Ralf Flachmann, Quedlinburg, GERMANY;
Martin Klebsattel, Quedlinburg, GERMANY;
Christel Renate Schopfer, Quedlinburg, GERMANY;

Quedlinburg
Quedlinburg
Quedlinburg

Assignment For Published Patent Application

SunGene GmbH & Co.KGaA, Gatersleben, GERMANY

Power of Attorney: The patent practitioners associated with Customer Number 23416.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/09106 08/18/2003

Foreign Applications

GERMANY 102 38 980.2 08/20/2002
GERMANY 102 38 978.0 08/20/2002
GERMANY 102 38 979.9 08/20/2002
GERMANY 102 53 112.9 11/13/2002
GERMANY 102 58 971.2 12/16/2002

If Required, Foreign Filing License Granted: 02/10/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/524,827**

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Projected Publication Date: 05/25/2006

Non-Publication Request: No

Early Publication Request: No

Title

Process for preparing ketocarotenoids in genetically modified organisms

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not** result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Declaration, Power of Attorney and Petition

Customer No.

Page 1 of 3
0000054058

We (I), the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

the specification of which

☐ is attached hereto.☐ was filed on _____ as

Application Serial No. _____

and amended on _____

☒ was filed as PCT international applicationNumber PCT/EP/03/09106on 18 August 2003

and was amended under PCT Article 19

"on _____ (if applicable).

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed
10238980.2	Germany	20 August 2002	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
10238978.0	Germany	20 August 2002	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
10238979.9	Germany	20 August 2002	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
10253112.9	Germany	13 November 2002	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
10258971.2	Germany	16 December 2002	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

pda/pet001 - X

We (I) hereby claim the benefit under Title 35, United States Codes, § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.

Filing Date


Status (pending, patented,
abandoned)

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And we (I) hereby appoint **CONNOLLY BOVE LODGE & HUTZ LLP**, The Nemours Building, 1007 North Orange Street, Wilmington, DE 19899, (telephone 302 658 9141; FAX 302 658 5614), our attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to sign the drawings, to receive the patent, and to transact all business in the Patent Office connected therewith.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


Matt Sauer
NAME OF SOLE OR FIRST INVENTOR


Signature of Inventor

Date 11 Sep. 2003

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06484 Quedlinburg
Germany
Citizen of United States of America
Post Office Address: same as residence

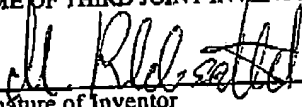
Ralf Flachmann
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Signature of Inventor

Date 11 Sep. 2003

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Signature of Inventor

Date 11 Sep. 2003

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Signature of Inventor

Date 11 Sep. 2003

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